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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Warren F. Schmalenberger

Serial No.: 09/442,819

Filed: November 18, 1999

Titled: **CAPITAL
MARKET INDEX**

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Atty. Docket No.: SCHC,002

Examiner: D.S. Felten

Group Art Unit: 2164

COMMISSIONER OF PATENTS
AND TRADEMARKS
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patent and Trademarks, Washington, D.C. 20231.

Mark E. Wisner, Registration No. 30,603

September 23, 2002
Date

**ATTACHMENT TO RESPONSE TO
OFFICIAL ACTION OF MARCH 21, 2002**

Dear Sir:

In accordance with the requirements of 37 C.F.R. 1.121, Applicant hereby submits versions of any replacement or added paragraphs of the captioned application, as well as any rewritten claims, on one or more pages separate from the amendment, marked up to show all the changes relative to the previous version of the paragraph(s) and/or claim(s).

IN THE CLAIMS

Claim(s) 1, 7-13, 18, and 21 was/were rewritten as follows:

1. (Amended) A method of arranging the capital market securities within a country into a single index which approximates the activities of the securities in the marketplace[, the method] comprising the steps of:

obtaining a current index of each of the stock, bond, and money market sectors of the marketplace in a country;

computing a weighting factor for each said index;

applying each said weighting factor to each said index to compute weighted indexes for each of the stock, bond, and money market sectors; and